

Form PTO 1449 Rev. 7-80	U.S. Department of Commerce Patent and Trademark Office <b>LIST OF PRIOR ART CITED BY APPLICANT</b> (Use Several Sheets If Necessary)	Atty. Docket No. CH-7929/LeA 36,206	Serial No. To Be Assigned
		Applicant Frank Berendes et al	
		Filing Date Herewith	Group

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>SBH</i>	AA	5,104,899	04/14/92	Young et al	514	646	
	AB						
	AC						
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## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							Yes	No*
<i>AL</i>	AL	447 938	10/18/95	Europe				
	AM							
	AN							
	AO							
	AP							

## OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

<i>05</i>		Sybesma et al., Biocatalysis and Biotransformation (month unavailable) 1998. V ol. 16,	
<i>01</i>	AR	"Reductions of 3-Oxo Esters by Baker's Yeast: Current Status", pgs. 95-134	✓
<i>01</i>		Dahl et al., Tetrahedron: Asymmetry 10, (month unavailable) (1999), "Baker's yeast: improving	✓
<i>01</i>	AS	the D-stereoselectivity in reduction of 3-oxo-esters", pgs. 551-559	
<i>01</i>		Dehli et al., Tetrahedron Asymmetry 11 (month unavailable) (2000) "Enantio- and chemoselective	✓
<i>01</i>	AT	bioreduction of $\beta$ -keto nitriles by the fungus Curvularia lunata", pgs. 3693-3700	
EXAMINER <i>[Signature]</i>			DATE CONSIDERED <i>09-28-06</i>
EXAMINER Initial if referenced considered, whether or not citation is in conformance with MPEP 609: Draw line through if not in conformance and not considered. Include copy of this form with next communication to applicant.			

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05	AR		Hayakawa et al., Tetrahedron Letters 39, (month unavailable) (1998) "Control of Enantio-selectivity in the Bakers' Yeast Reduction of $\beta$ -Keto Ester Derivatives in the Presence of a Sulfur Compound", pgs. 67-70
01	AS		Cabon et al., Tetrahedron: Asymmetry Vol. 6, No. 9, (month unavailable) 1995, "The Microbial Reduction of 2-Chloro-3-oxoesters" pgs. 2199-2210
01	AT		Smallridge et al., Tetrahedron Letters Vol. 39, (month unavailable) (1998), "Enzymatic Alkylation of $\alpha$ -Cyanoketones by Bakers Yeast", pgs. 5121-5124

EXAMINER	<i>W</i>	DATE CONSIDERED	09-26-06
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20		Chênevert et al., Tetrahedron Vol. 48, No. 33 (month unavailable) 1992, "Asymmetric Synthesis of Both Enantiomers of Fluoxetine via Microbiological Reduction of Ethyl Benzoylacetate", pgs. 6769-6776	✓
21	AR		
22		Kumar et al., Tetrahedron Letters, Vol. 32, No. 16, (month unavailable) 1991, "A New Chemo-enzymatic Enantioselective Synthesis of R-(-)-Tomoxetine, (R)- and (S)-Fluoxetine", pgs. 1901-1904	✓
23	AS		
24		Kalinin et al., Tetrahedron Letters 39, (month unavailable) (1998), "Directed <i>ortho</i> Metalation - Cross Coupling Links. Carbamoyl Rendition of the Baker-Venkataraman Rearrangement. Regio-specific Route to Substituted 4-Hydroxycoumarins", pgs. 4995-4998	✓
25	AT		

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OK	AR		D.Döpp u. H. Döpp: Carbonsäure-amide (Monoacyl-amine), Houben Weyl "Methoden der Organischen Chemie [Methods of organic chemistry]", 4 <sup>th</sup> Edition, Vol. E5, pgs. 940-1010	✓
OK	AS		Houben Weyl "Methoden der Organischen Chemie", 4 <sup>th</sup> edition, Volume E 16 d, pgs. 987-1003	
	AT			

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09-26-05

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